

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

627

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61K 9/127, 9/14	A1	(11) International Publication Number: WO 96/31196 (43) International Publication Date: 10 October 1996 (10.10.96)
(21) International Application Number: PCT/US96/04208 (22) International Filing Date: 27 March 1996 (27.03.96) (30) Priority Data: 08/417,238 5 April 1995 (05.04.95) US (71) Applicant: IMARx PHARMACEUTICAL CORP. [US/US]; 1635 East 18th Street, Tucson, AZ 85749 (US). (72) Inventor: UNGER, Evan, C.; 13365 East Camino La Cebadilla, Tucson, AZ 85749 (US). (74) Agents: MILLER, Suzanne, E. et al.; Woodcock Washburn Kurtz Mackiewicz & Norris, 46th floor, One Liberty Place, Philadelphia, PA 19103 (US).		(81) Designated States: AU, BR, CA, CN, JP, MX, RU, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>With amended claims.</i>
(54) Title: NOVEL COMPOSITIONS OF LIPIDS AND STABILIZING MATERIALS (57) Abstract Compositions comprising, in an aqueous carrier, a lipid and a material which is capable of stabilizing the composition. The stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition. The compositions are particularly suitable for use in diagnostic applications, including ultrasound. The compositions can take the form of vesicular compositions, such as micelles and liposomes.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

NOVEL COMPOSITIONS OF LIPIDS AND STABILIZING MATERIALS

Cross Reference to Related Applications

This application is a continuation-in-part of copending U.S. application Serial No. 307,305, filed September 16, 1994, which is a continuation-in-part of U.S. application Serial No. 159,687, filed November 30, 1993, which is a continuation-in-part of U.S. application Serial No. 076,239, filed June 11, 1993, which is a continuation-in-part of U.S. application Serial No. 717,084, now U.S. Patent No. 5,228,446, issued July 20, 1993 and U.S. application Serial No. 716,899, now abandoned, both of which were filed June 18, 1991 and which are continuations-in-part of U.S. application Serial No. 569,828, filed August 20, 1990, now U.S. Patent No. 5,088,499, issued February 18, 1992, which is a continuation-in-part of U.S. application Serial No. 455,707, filed December 22, 1989, now abandoned.

This application is also a continuation-in-part of copending U.S. application Serial No. 160,232, filed November 30, 1993, which is a continuation-in-part of U.S. application Serial No. 076,250, filed June 11, 1993, which is a continuation-in-part of U.S. application Serial No. 717,084, now U.S. Patent No. 5,228,446, issued July 20, 1993 and U.S. application Serial No. 716,899, now abandoned, both of which were filed June 18, 1991 and which are continuations-in-part of U.S. application Serial No. 569,828, filed August 20, 1990, now U.S. Patent No. 5,088,499, issued February 18, 1992, which is a continuation-in-part of U.S. application Serial No. 455,707, filed December 22, 1989, now abandoned.

- 2 -

The disclosures of each of the above applications are incorporated herein by reference in their entirety.

Field of the Invention

The present invention relates to novel compositions of lipids and stabilizing materials and the use thereof. More particularly, the present invention relates to novel compositions of lipids and stabilizing materials and their use in the administration of biologically active agents.

Background of the Invention

Ultrasound is a valuable diagnostic imaging technique for studying various areas of the body, for example, the vasculature, including tissue microvasculature. Ultrasound provides certain advantages over other diagnostic techniques. For example, diagnostic techniques involving nuclear medicine and X-rays generally results in exposure of the patient to ionizing electron radiation. Such radiation can cause damage to subcellular material, including deoxyribonucleic acid (DNA), ribonucleic acid (RNA) and proteins. Ultrasound does not involve such potentially damaging radiation. In addition, ultrasound is relatively inexpensive relative to other diagnostic techniques, including computed tomography (CT) and magnetic resonance imaging (MRI), which require elaborate and expensive equipment.

Ultrasound involves the exposure of a patient to sound waves. Generally, the sound waves dissipate due to absorption by body tissue, penetrate through the tissue or reflect off of the tissue. The reflection of sound waves off of tissue, generally referred to as backscatter or reflectivity, forms the basis for developing an ultrasound image. In this connection, sound waves reflect differentially from different body tissues. This differential reflection is due to various factors, including the constituents and the density of the particular tissue being observed. Ultrasound involves the detection of the differentially reflected waves, generally with a transducer that can detect sound waves having a frequency of one megahertz (MHz) to ten MHz. The detected waves can be integrated into an image which is quantitated and the quantitated waves converted into an image of the tissue being studied.

- 3 -

Ultrasound imaging techniques generally also involve the use of contrast agents. Contrast agents are used to improve the quality and usefulness of images which are obtained via ultrasound. Exemplary contrast agents include, for example, suspensions of solid particles, emulsified liquid droplets, and gas-filled
5 bubbles. See, e.g., Hilmann et al., U.S. Patent No. 4,466,442, and published International Patent Applications WO 92/17212 and WO 92/21382.

The quality of images produced from ultrasound has improved significantly. Nevertheless, further improvement is needed, particularly with respect to images involving vasculature in tissues that are perfused with a vascular blood
10 supply. Accordingly, there is a need for improved ultrasound techniques, including improved contrast agents which are capable of providing medically useful images of the vasculature and vascular-related organs.

The reflection of sound from a liquid-gas interface is extremely efficient. Accordingly, bubbles, including gas-filled bubbles, are useful as contrast
15 agents. The term "bubbles", as used herein, refers to vesicles which are generally characterized by the presence of one or more membranes or walls surrounding an internal void that is filled with a gas or precursor thereto. Exemplary bubbles include, for example, liposomes, micelles and the like. As discussed more fully hereinafter, the effectiveness of bubbles as contrast agents depends upon various
20 factors, including, for example, the size and/or elasticity of the bubble.

With respect to the effect of bubble size, the following discussion is provided. As known to the skilled artisan, the signal which is reflected off of a bubble is a function of the radius (r^6) of the bubble (Rayleigh Scatterer). Thus, a bubble having a diameter of 4 micrometer (μm) possesses about 64 times the
25 scattering ability of a bubble having a diameter of 2 μm . Thus, generally speaking, the larger the bubble, the greater the reflected signal.

However, bubble size is limited by the diameter of capillaries through which the bubbles must pass. Generally, contrast agents which comprise bubbles having a diameter of greater than 10 μm can be dangerous since microvessels may be
30 occluded. Accordingly, it is desired that greater than about 99% of the bubbles in a contrast agent have a diameter of less than 10 μm . Mean bubble diameter is important also, and should be greater than 1 μm , with greater than 2 μm being

- 4 -

preferred. The volume weighted mean diameter of the bubbles should be about 7 to 10 micrometer.

As noted above, the elasticity of bubbles is also important. This is because highly elastic bubbles can deform, as necessary, to "squeeze" through capillaries. This decreases the likelihood of occlusion. The effectiveness of a contrast agent which comprises bubbles is also dependent on the bubble concentration. Generally, the higher the bubble concentration, the greater the reflectivity of the contrast agent.

Another important characteristic which is related to the effectiveness of bubbles as contrast agents is bubble stability. As used herein, particularly with reference to gas-filled bubbles, "bubble stability" refers to the ability of bubbles to retain gas entrapped therein after exposure to a pressure greater than atmospheric pressure. To be effective as contrast agents, bubbles generally need to retain greater than 50% of entrapped gas after exposure to pressure of 300 millimeters (mm) of mercury (Hg) for about one minute. Particularly effective bubbles retain 75% of the entrapped gas after being exposed for one minute to a pressure of 300 mm Hg, with an entrapped gas content of 90% providing especially effective contrast agents. It is also highly desirable that, after release of the pressure, the bubbles return to their original size. This is referred to generally as "bubble resilience."

Bubbles which lack desirable stability provide poor contrast agents. If, for example, bubbles release the gas entrapped therein *in vivo*, reflectivity is diminished. Similarly, the size of bubbles which possess poor resilience will be decreased *in vivo*, also resulting in diminished reflectivity.

The stability of bubbles disclosed in the prior art is generally inadequate for use as contrast agents. For example, the prior art discloses bubbles, including gas-filled liposomes, which comprise lipoidal walls or membranes. See, e.g., Ryan et al., U.S. Patent Nos. 4,900,540 and 4,544,545; Tickner et al., U.S. Patent No. 4,276,885; Klaveness et al., WO 93/13809 and Schneider et al., EPO 0 554 213 and WO 91/15244. The stability of the bubbles disclosed in the aforementioned references is poor in that as the solutions in which the bubbles are suspended become diluted, for example, *in vivo*, the walls or membranes of the bubbles are thinned. This results in a greater likelihood of rupture.

- 5 -

Various studies have been conducted in an attempt to improve bubble stability. Such studies have included, for example, the preparation of bubbles in which the membranes or walls thereof comprise materials that are apparently strengthened via crosslinking. *See, e.g., Klaveness et al., WO 92/17212*, in which
5 there are disclosed bubbles which comprise proteins crosslinked with biodegradable crosslinking agents. Alternatively, bubble membranes can comprise compounds which are not proteins but which are crosslinked also with biocompatible compounds. *See, e.g., Klaveness et al., WO 92/17436, WO 93/17718 and WO 92/21382.*

Prior art techniques for stabilizing bubbles, including crosslinking,
10 suffer from various drawbacks. For example, the crosslinking described above generally involves the use of new materials, including crosslinked proteins or other compounds, for which the metabolic fate is unknown. In addition, crosslinking requires additional chemical process steps, including isolation and purification of the crosslinked compounds. Moreover, crosslinking imparts rigidity to the membranes or
15 walls of the bubbles. This results in bubbles having reduced elasticity and, therefore, a decreased ability to deform and pass through capillaries. Thus, there is a greater likelihood of occlusion of vessels with prior art contrast agents that are stabilized via crosslinking.

Accordingly, new and/or better stabilized contrast agents and methods
20 for providing same are needed. The present invention is directed to this, as well as other, important ends.

Summary of the Invention

The present invention is directed to stabilized lipid compositions. Specifically, in one aspect, the present invention relates to a lipid composition
25 comprising, in a aqueous carrier, a lipid and a material which is capable of stabilizing the composition. The stabilizing material is associated non-covalently with the lipid and is present in the composition in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition. The lipid composition may further comprise, as desired, gas and/or gaseous precursors. Also, if desired, the lipid
30 composition may additionally comprise bioactive agents.

- 6 -

Another aspect of the invention relates to a vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, and a material which is capable of stabilizing the composition. The stabilizing material is associated non-covalently with the lipid and is present in the composition in an amount sufficient to
5 coat the lipid but insufficient to raise the viscosity of the composition. The vesicular composition may further comprise, as desired, gas and/or gaseous precursors. Also, if desired, the vesicular composition may further comprise bioactive agents.

Yet another aspect of the invention relates to a formulation for diagnostic or therapeutic use. The formulations comprise, in combination with a
10 bioactive agent, a lipid and a material which is capable of stabilizing the composition. The stabilizing material is associated non-covalently with the lipid and is present in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition. The formulation may further comprise, as desired, gas and/or gaseous precursors.

15 Still another aspect of the invention relates to a process for the preparation of a stabilized lipid composition. The process comprises combining together a lipid and a stabilizing material which is capable of associating non-covalently with the lipid. The stabilizing material is combined with the lipid in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the
20 composition.

Another aspect of the present invention relates to a process for the preparation of a formulation for diagnostic or therapeutic use. The process comprises combining together a bioactive agent and a composition which comprises a lipid and a stabilizing material which is associated non-covalently with the lipid. The
25 stabilizing material is present in the composition in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition.

Yet another aspect of the invention relates to a stabilized lipid composition that is prepared by combining together a lipid and a stabilizing material which is capable of associating non-covalently with the lipid. The stabilizing material
30 is combined with the lipid in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition.

Another aspect of the invention relates to a stabilized formulation for diagnostic or therapeutic use. The formulation is prepared by combining together a bioactive agent and a composition which comprises a lipid and a material which is capable of stabilizing the formulation. The stabilizing material is associated non-covalently with the lipid and is present in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the formulation.

Yet another aspect of the invention relates to a method for providing an image of an internal region of a patient. The method comprises (i) administering to the patient a lipid composition comprising, in an aqueous carrier, a lipid, a gas or a gaseous precursor and a material which is capable of stabilizing the composition, wherein the stabilizing material is associated non-covalently with the lipid and is present in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of the region.

Still another aspect of the invention relates also to a method for providing an image of an internal region of a patient. The method comprises (i) administering to the patient a vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, a gas or a gaseous precursor and a material which is capable of stabilizing the composition, wherein the stabilizing material is associated non-covalently with the lipid and is present in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of the region.

Yet another aspect of the invention relates to a method for diagnosing the presence of diseased tissue in a patient. The method comprises (i) administering to the patient a lipid composition comprising, in an aqueous carrier, a lipid, a gas or a gaseous precursor and a material which is capable of stabilizing the composition, wherein the stabilizing material is associated non-covalently with the lipid and is present in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of any diseased tissue in the patient.

Another aspect of the invention relates also to a method for diagnosing the presence of diseased tissue in a patient. The method comprises (i) administering

- 8 -

to the patient a vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, a gas or a gaseous precursor and a material which is capable of stabilizing the composition, wherein the stabilizing material is associated non-covalently with the lipid and is present in an amount sufficient to coat the lipid but
5 insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of any diseased tissue in the patient.

Also encompassed by the present invention is a method for the therapeutic delivery *in vivo* of a bioactive agent. The method comprises administering to a patient a therapeutically effective amount of a formulation which
10 comprises, in combination with a bioactive agent, a lipid composition which comprises a lipid and a material which stabilizes the composition. The stabilizing material is associated non-covalently with the lipid and is present in the composition in an amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition.

15 These and other aspects of the invention will become more apparent from the present specification and claims.

Detailed Description of the Invention

As employed above and throughout the disclosure, the following terms, unless otherwise indicated, shall be understood to have the following meanings.

20 "Lipid" refers to a synthetic or naturally-occurring amphipathic compound which comprises a hydrophilic component and a hydrophobic component. Lipids include, for example, fatty acids, neutral fats, phosphatides, glycolipids, aliphatic alcohols and waxes, terpenes and steroids.

"Lipid composition" refers to a composition which comprises a lipid
25 compound. Exemplary lipid compositions include suspensions, emulsions and vesicular compositions.

"Lipid formulation" refers to a composition which comprises a lipid compound and a bioactive agent.

30 "Vesicle" refers to a spherical entity which is characterized by the presence of an internal void. Preferred vesicles are formulated from lipids, including the various lipids described herein. In any given vesicle, the lipids may be in the

form of a monolayer or bilayer, and the mono- or bilayer lipids may be used to form one or more mono- or bilayers. In the case of more than one mono- or bilayer, the mono- or bilayers are generally concentric. The lipid vesicles described herein include such entities commonly referred to as liposomes, micelles, bubbles, 5 microbubbles, microspheres and the like. Thus, the lipids may be used to form a unilamellar vesicle (comprised of one monolayer or bilayer), an oligolamellar vesicle (comprised of about two or about three monolayers or bilayers) or a multilamellar vesicle (comprised of more than about three monolayers or bilayers). The internal void of the vesicles may be filled with a liquid, including, for example, an aqueous 10 liquid, a gas, a gaseous precursor, and/or a solid or solute material, including, for example, a bioactive agent, as desired.

"Vesicular composition" refers to a composition which is formulated from lipids and which comprises vesicles.

"Vesicle formulation" refers to a composition which comprises vesicles 15 and a bioactive agent.

"Liposome" refers to a generally spherical cluster or aggregate of amphipathic compounds, including lipid compounds, typically in the form of one or more concentric layers, for example, bilayers. They may also be referred to herein as lipid vesicles.

20 "Patient" refers to animals, including mammals, preferably humans.

"Bioactive agent" refers to a substance which is used in connection with an application that is therapeutic or diagnostic in nature, such as in methods for diagnosing the presence or absence of a disease in a patient and/or in methods for the treatment of disease in a patient. As used herein, "bioactive agent" refers also to 25 substances which are capable of exerting a biological effect *in vitro* and/or *in vivo*. The bioactive agents may be neutral or positively or negatively charged. Examples of suitable bioactive agents include diagnostic agents, pharmaceuticals, drugs, synthetic organic molecules, proteins, peptides, vitamins, steroids and genetic material, including nucleosides, nucleotides and polynucleotides.

30 "Diagnostic agent" refers to any agent which is used in connection with methods for diagnosing the presence or absence of a disease in a patient. Exemplary

- 10 -

diagnostic agents include, for example, contrast agents for use in connection with ultrasound, magnetic resonance imaging or computed tomography of a patient,

"Genetic material" refers generally to nucleotides and polynucleotides, including deoxyribonucleic acid (DNA) and ribonucleic acid (RNA). The genetic material may be made by synthetic chemical methodology known to one of ordinary skill in the art, or by the use of recombinant technology, or by a combination of the two. The DNA and RNA may optionally comprise unnatural nucleotides and may be single or double stranded. "Genetic material" refers also to sense and anti-sense DNA and RNA, that is, a nucleotide sequence which is complementary to a specific sequence of nucleotides in DNA and/or RNA.

"Pharmaceutical" or "drug" refers to any therapeutic or prophylactic agent which is used in the treatment (including the prevention, diagnosis, alleviation, or cure) of a malady, affliction, disease or injury in a patient. Therapeutically useful peptides, polypeptides and polynucleotides are included within the meaning of the term pharmaceutical or drug.

"Stabilizing material" refers to a substance which is biocompatible and which is capable of promoting the formation of vesicles in a lipid composition. As used herein, "stabilizing material" refers also to a substance which is biocompatible and which is capable of improving the stability of a vesicle. In certain preferred embodiments, the stabilizing material comprises a polymer. "Polymer", as used herein, refers to molecules formed from the chemical union of two or more repeating units. Accordingly, included within the term "polymer" are, for example, dimers, trimers and oligomers. In certain other preferred embodiments, the stabilizing material comprises a non-polymeric material, including, for example, monomeric molecules. Encompassed also in the definition of "stabilizing material" are certain of the present bioactive agents. The stabilizing material may be neutral or positively or negatively charged. Preferred among the neutral stabilizing materials are polar materials.

"Vesicle stability" refers to the ability of gas-filled vesicles to retain the gas entrapped therein after being exposed, for about one minute, to a pressure of about 300 mm Hg. Vesicle stability is measured in percent (%), this being the fraction of the amount of gas which is originally entrapped in the vesicle and which

- 11 -

is retained after release of the pressure. Vesicle stability includes reference also to "vesicle resilience" which refers to the ability of a vesicle to return to its original size after release of the pressure.

"Viscosity" refers to the internal friction of a fluid that is measurable
5 using standard viscosity-measuring means, such as a viscometer.

"Raising viscosity" refers to the increase of viscosity by greater than about 20%.

"Non-covalent association" refers to intermolecular interaction among two or more separate molecules, which interaction does not involve a covalent bond.
10 Intermolecular interaction is dependent upon a variety of factors, including, for example, the polarity of the involved molecules, the charge (positive or negative), if any, of the involved molecules, and the like. Non-covalent associations are preferably selected from the group consisting of ionic interaction, dipole-dipole interaction and van der Waal's forces and combinations thereof.

15 "Ionic interaction" refers to intermolecular interaction among two or more molecules, each of which is positively or negatively charged. Thus, for example, "ionic interaction" refers to the attraction between a first, positively charged molecule and a second, negatively charged molecule. Exemplary ionic interactions include, for example, the attraction between a negatively charged stabilizing material,
20 for example, genetic material, and a positively charged lipid, for example, a cationic lipid, such as lauryltrimethylammonium bromide.

"Dipole-dipole interaction" refers generally to the attraction which can occur among two or more polar molecules. Thus, "dipole-dipole interaction" refers to the attraction of the positive end of a first polar molecule to the negative end of a
25 second polar molecule. Dipole-dipole interactions are exemplified, for example, by the attraction between the electropositive head group, for example, the choline head group, of phosphatidylcholine and an electronegative atom, for example, a heteroatom, such as oxygen, nitrogen or sulphur, which is present in a stabilizing material, such as a polysaccharide. "Dipole-dipole interaction" refers also to
30 intermolecular hydrogen bonding in which a hydrogen atom serves as a bridge between electronegative atoms on separate molecules and in which a hydrogen atom

- 12 -

is held to a first molecule by a covalent bond and to a second molecule by electrostatic forces.

"Van der Waal's forces" refers to the attractive forces between non-polar molecules that are accounted for by quantum mechanics. Van der Waal's forces
5 are generally associated with momentary dipole moments which are induced by neighboring molecules and which involve changes in electron distribution.

"In combination with" refers to the incorporation of a bioactive agent with a lipid composition of the present invention. The bioactive agent can be combined with the lipid composition in any of a variety of ways. For example, when
10 the lipid composition is in the form of a vesicular composition, the bioactive agent may be entrapped within the internal void of the vesicle. The bioactive agent may also be integrated within the layer(s) or wall(s) of the vesicle, for example, by being interspersed among lipids which are contained within the vesicular layer(s) or wall(s). In addition, it is contemplated that the bioactive agent may be located on the surface
15 of a vesicle. In this case, the bioactive agent may interact chemically with the surface of the vesicle and remain substantially adhered thereto. Such interaction may take the form of, for example, non-covalent association. In certain embodiments, the interaction may result in the stabilization of the vesicle.

"Coat" or "coating" refers to the interaction of the stabilizing material
20 with the lipid and/or vesicles and includes non-covalent interaction.

The present invention is directed, in part, to stabilized lipid compositions. The lipid compositions comprise, in an aqueous carrier, a lipid and a material which is capable of stabilizing the composition. The stabilizing material is associated non-covalently with the lipid and is present in the composition in an
25 amount sufficient to coat the lipid but insufficient to raise the viscosity of the composition.

It has been surprisingly and unexpectedly found that when combined with lipid compounds, the present stabilizing materials are capable of promoting the formation of vesicles. In addition, the stabilizing materials are surprisingly and
30 unexpectedly capable of improving the stability of the formed vesicles. In contrast to prior art techniques for stabilizing lipid compositions, the present methods and compositions provide stabilizing materials which associate non-covalently with lipids.

Accordingly, the present invention provides simple and efficient methods for stabilizing lipid compositions, especially vesicular compositions.

A variety of lipid compounds can be employed in the present compositions. Preferred lipid compounds are those which, when combined with the present stabilizing materials, tend to form vesicles. Suitable lipids include, for example, phospholipids, such as phosphatidylcholine with both saturated and unsaturated fatty acids including dioleoylphosphatidylcholine; dimyristoylphosphatidylcholine; dipalmitoylphosphatidylcholine; distearoylphosphatidylcholine; phosphatidylethanolamines, such as dipalmitoylphosphatidylethanolamine, dioleoylphosphatidylethanolamine, N-succinyldioleoylphosphatidylethanolamine and 1-hexadecyl-2-palmitoylglycerophosphoethanolamine; phosphatidylserine; phosphatidylglycerol; sphingolipids; glycolipids, such as ganglioside GM1; glucolipids; sulfatides; glycosphingolipids; phosphatidic acids, such as dipalmitoylphosphatidic acid; palmitic acid; stearic acid; arachidonic acid; oleic acid; lipids bearing polymers such as polyethyleneglycol or polyvinylpyrrolidone; cholesterol and cholesterol hemisuccinate; 12-(((7'-diethylaminocoumarin-3-yl)carbonyl)methylamino)octadecanoic acid; N-[12-(((7'-diethylaminocoumarin-3-yl)carbonyl)methylamino)octadecanoyl]-2-aminopalmitic acid; cholesteryl 4'-trimethylamino)butanoate; 1,2-dioleoyl-sn-glycerol; 1,2-dipalmitoyl-sn-3-succinylglycerol; 1,3-dipalmitoyl-2-succinylglycerol; and palmitoylhomocysteine.

Suitable lipid compounds include also lipids used to make mixed micelle systems, such as lauryltrimethylammonium bromide; cetyltrimethylammonium bromide; myristyltrimethylammonium bromide; alkyl dimethylbenzylammonium chloride (where alkyl is, for example, C₁₂, C₁₄ or C₁₅); benzyldimethyldodecylammonium bromide/chloride; benzyldimethylhexadecylammonium bromide/chloride; benzyldimethyltetradecylammonium bromide/chloride; cetyl dimethylethylammonium bromide/chloride; and cetylpyridinium bromide/chloride.

Suitable lipids for use in the present compositions include also lipids carrying a net charge, for example, anionic and/or cationic lipids. Exemplary cationic lipids include, for example, N-[1-(2,3-dioleoyloxy)propyl]-N,N,N-trimethylammonium

- 14 -

chloride) (DOTMA), dioleoyloxy-3-(trimethylammonium)propane) (DOTPA) and 1,2,-dioleoyloxy-e-(4'-trimethylammonium)butanoyl-sn-glycerol.

In addition to, or instead of, the lipid compounds described above, the present lipid compositions may comprise aliphatic carboxylic acids, for example, fatty acids. Preferred fatty acids include those which contain about 5 to about 22 carbon atoms in the aliphatic group. The aliphatic group can be either linear or branched. Exemplary saturated fatty acids include, for example, (iso)lauric, (iso)myristic, (iso)palmitic and (iso)stearic acids. Exemplary unsaturated fatty acids include, for example, lauroleic, physeteric, myristoleic, palmitoleic, petroselinic, and oleic acid. Suitable fatty acids include also, for example, fatty acids in which the aliphatic group is an isoprenoid or prenyl group. In addition, carbohydrates bearing polymers may be used in the present lipid compositions. Carbohydrate bearing lipids are described, for example, in U.S. Patent No. 4,310,505, the disclosures of which are hereby incorporated by reference herein, in their entirety.

Other lipid compounds for use in the present lipid compositions, in addition to those exemplified above, would be apparent in view of the present disclosure. Preferably, the lipids from which the lipid compositions are prepared are selected to optimize certain desirable properties of the compositions, including serum stability and plasma half-life. The selection of suitable lipids in the preparation of lipid compositions, in addition to the lipids exemplified above, would be apparent to one skilled in the art and can be achieved without undue experimentation, based on the present disclosure.

The concentration of lipid in the present lipid compositions can vary and depends upon various factors, including, for example, the particular lipid(s) and stabilizing material(s) which are employed in the compositions. It has been unexpectedly and surprisingly found that, due to the incorporation of stabilizing materials as described herein, vesicles are formed with substantially low concentrations of lipid. This is beneficial in that the amount of lipid compound which is administered to a patient is reduced. In addition, the cost associated with the manufacture of the present lipid compositions is desirably reduced by virtue of the lower amounts of raw ingredient, such as lipid, which are required. Thus, in the case of vesicular compositions, such as liposomes and micelles, it has been found that

- 15 -

stable vesicles are provided which comprise only a single bilayer or monolayer. In general, the concentration of lipid in the present lipid compositions is from about 0.001 mg/mL to about 200 mg/mL, with a concentration of about 0.01 mg/mL to 20 mg/mL being preferred. More preferably, the concentration of lipid compound is
5 about 0.05 mg/mL to about 10 mg/mL, with a concentration of about 0.1 mg/mL to about 5 mg/mL being even more preferred.

In accordance with the present invention, the lipid compositions further comprise a stabilizing material. It has been unexpectedly found that stabilizing materials, as defined herein, are capable of promoting the formation of vesicles in a
10 lipid composition. Thus, when the stabilizing materials are combined with lipid compounds according to the methods described herein, the lipid compounds will desirably aggregate to form vesicles. As noted above, vesicle concentration is important with respect to the effectiveness of contrast agents based on vesicles. When combined with lipid compounds, the present stabilizing materials desirably
15 promote the formation of vesicles in a concentration that affords effective contrast agents. Preferably, the present stabilizing materials provide lipid compositions having a vesicle concentration of greater than about 1×10^8 vesicles/mL. More preferably, the present stabilizing materials provide vesicle concentrations of greater than about 1×10^9 vesicles/mL.

20 The stabilizing materials improve also the stability of the formed vesicles. Preferably, the present stabilizing materials provide vesicles having a stability of greater than about 50%. More preferably, the present stabilizing materials provide vesicles have a stability of at least about 75%, with a stability of at least 90% being more preferred.

25 In certain embodiments, the stabilizing material comprises a polymer. Preferred polymers include polymers in which the repeating units contain one or more hydroxy groups (polyhydroxy polymers); polymers in which the repeating units contain one or more amino groups (polyamine polymers); polymers in which the repeating units contain one or more carboxy groups (polycarboxy polymers); and
30 polymers in which the repeating units contain one or more saccharide moieties (polysaccharide polymers). The molecular weight of the polymers may vary, and is generally about 50 to about 5,000,000, with polymers having a molecular weight of

- 16 -

about 100 to about 50,000 being preferred. More preferred polymers have a molecular weight of about 150 to about 10,000, with molecular weights of 800 to about 8,000 being even more preferred.

The stabilizing materials comprise neutral or positively or negatively charged materials. Preferred among the neutral stabilizing materials include lipidic and/or oily materials, polyalcohol polymers, glycosaminoglycans, carbohydrates, including monosaccharides, disaccharides and polysaccharides, gums and cellulosic materials. Exemplary neutral stabilizing materials include, for example, oils, such as peanut oil, canola oil, olive oil, safflower oil and corn oil; lecithin; sphingomyelin; 5 cholesterol and derivatives thereof; squalene; terpenes and terpenoid compounds; triglycerides; gums, such as xanthan, tragacanth, locust bean, guar and carrageenan gums; methoxylated pectin; starch; agarose; cellulose and semi-synthetic cellulose, for example, methyl cellulose, hydroxyethyl cellulose, methoxy cellulose and hydroxypropyl cellulose; acacia; agar; bentonites, including purified bentonite; 10 magma; carbomer 934P; dextrin; gelatin; di- and trihydroxy substituted alkanes and their polymers, including polyvinylalcohol; mono-, di- and triglycerides; amino alcohols; monosaccharides or sugar alcohols, such as erythrose, threose, ribose, arabinose, xylose, lyxose, fructose, sorbitol, mannitol and sedoheptulose, with preferred monosaccharides being fructose, mannose, xylose, arabinose, mannitol and 15 sorbitol; and disaccharides, such as lactose, sucrose, maltose and cellobiose. 20

Suitable positively charged stabilizing materials include compounds containing, for example, protonated or quaternary amino groups, including polymers in which the repeating units contain one or more amino groups, such as peptides, polypeptides, proteins and lipoproteins, such as albumin and natural lipoproteins. 25 Exemplary positively charged stabilizing materials include, for example, chitin; alkanolamines, such as monoethanolamine, diethanolamine and triethanolamine, and mixtures thereof, including, for example, trolamine; polylysine; polyarginine; polyethyleneimine; chitosan; and peptides, including melanin concentrating hormone and dynorphin. Suitable negatively charged materials are compounds containing, for 30 example, carboxy (CO_2^-) groups, including polycarboxy polymers. Exemplary negatively charged stabilizing materials include, for example, carboxymethylcellulose; salts of alginic acid, such as sodium and calcium alginate; salts of

- 17 -

glycosaminoglycans, including salts of hyaluronic acid; phosphorylated and sulfonated derivatives of carbohydrates; genetic material, such as interleukin-2 and interferon; phosphorothioate oligomers; and negatively charged peptides, such as deltorphin.

Other stabilizing materials, in addition to those exemplified above,
5 would be apparent to one of ordinary skill in the art based on the present disclosure.

An important aspect of the present invention is that the stabilizing materials are associated non-covalently with the lipids. Accordingly, stabilization of the lipid compositions can be achieved by combining together the lipids and stabilizing materials according to the methods described herein and which generally
10 involve admixing together the lipids and stabilizing materials. Stabilization is achieved by the coating of the lipid with the stabilizing material. Thus, the methods and compositions of the present invention avoid the necessity of covalently linking together lipids and stabilizing materials through involved and complex chemical reactions, such covalent linking reactions being the subject of, for example, prior art
15 attempts to stabilize compositions. *See, e.g.,* Klaveness et al., WO 92/17212. The stabilizing materials preferably associate with the lipids via one or more of the following modes of interaction: ionic interaction, dipole-dipole interaction and van der Waals forces and combinations thereof.

While the inventor does not wish to be bound by any theory or
20 theories, it is believed that the stabilizing materials promote formation of vesicles and stabilize the formed vesicles in the following manner. The stabilizing materials employed herein, whether neutral or positively or negatively charged, generally comprise hydrophobic and hydrophilic domains. It is believed that, in the present lipid compositions, the stabilizing materials associate with the lipids such that the
25 hydrophobic domains of the stabilizing materials interact with the hydrophobic domains of the lipid and the hydrophilic domains of the stabilizing materials interact with the hydrophilic domains of the lipids. Similar interactions are believed to occur between, for example, positively charged regions of polyamine stabilizing materials and negatively charged regions, such as carboxy groups, of fatty acids. This
30 interaction contributes to the formation of vesicles. Once the vesicles are formed, it is believed that the stabilizing materials coat the vesicle such that the hydrophobic domains of the stabilizing materials interact with the generally hydrophobic surface or

- 18 -

other hydrophobic areas of the vesicle and the hydrophilic domains of the stabilizing materials are directed outwardly into the aqueous milieu. The vesicles are thus protected in, for example, a "stabilizing cocoon", which operates by shielding the vesicles from substances that would otherwise cause their rupture.

5 As noted above, the stabilizing materials are highly effective in promoting the formation of vesicles from substantially low concentrations of lipid compound. Thus, another beneficial aspect of the present methods and compositions is that stable, thin-walled vesicles are provided which possess desirable elasticity and deformability. Thus, the present compositions provide vesicles which can readily
10 deform to squeeze through blood vessels; the risk of occlusion of vessels is thereby reduced.

 The concentration of the stabilizing material in the present lipid compositions can vary and depends upon the particular lipids and/or stabilizing materials which are employed. It is preferred that the concentration of stabilizing
15 material is at least sufficient to achieve coating of the lipid but insufficient to raise the viscosity of the lipid composition. In preferred embodiments, the concentration of stabilizing material is from about 0.01 mg/mL to about 200 mg/mL. More preferably, the concentration of stabilizing material is from about 0.05 mg/mL to about 5 mg/mL with concentrations of about 15 mg/mL to about 2.5 mg/mL being even more
20 preferred.

 It has been surprisingly and unexpectedly found that the stabilizing effect provided by the present stabilizing materials is substantially independent of viscosity. In this connection, it has been found that viscosity is not raised (that is, not raised by greater than about 20%) after the present stabilizing materials have been
25 added to a composition that contains lipid alone (no stabilizing material) to form the compositions of the present invention. This is surprising since prior art stabilizing materials generally produce a significant change in the viscosity of lipid compositions, with a general tendency towards increased viscosities. Preferably, the viscosity is not raised by greater than about 15%, with a raise in viscosity of no
30 greater than about 10% being more preferred. Even more preferably, the viscosity is not raised by greater than about 5%, with no raise in viscosity (0%) being still more preferred.

In certain embodiments of the invention, it has been found that the viscosity is decreased after the present stabilizing materials have been added to a composition that contains lipid alone (no stabilizing material) to form the compositions of the present invention. This is particularly surprising and unexpected since, as noted above, the addition of prior art stabilizing materials to compositions that contain lipid alone (no stabilizing material) generally results in increased viscosities. Preferably, the viscosity is decreased by about 5% or more, with a decrease in viscosity of about 10% or more being more preferred. Even more preferably, the viscosity is decreased by about 15% or more, with a decrease in viscosity of about 20% or more being still more preferred.

In certain preferred embodiments of the invention, the lipid compositions comprise a vesicular composition. The vesicular compositions may comprise micelles and/or liposomes. A wide variety of methods are available for the preparation of vesicular compositions, including, for example, shaking, drying, gas-installation, spray drying, and the like. Suitable methods for preparing the vesicular compositions are described, for example, in U.S. application Serial No. 307,305, filed September 16, 1994, the disclosures of which are incorporated herein by reference. Preferably, the vesicles are prepared from lipids which remain in the gel state. The following table lists some of the representative lipids and their phase transition temperatures.

Table I**Saturated Diacyl-sn-Glycero-3-Phosphocholines:
Main Chain Melting Transition Temperatures**

# Carbons in Acyl Chains	Main Phase Transition Temperature (° C)
1,2-(12:0)	-1.0
1,2-(13:0)	13.7
1,2-(14:0)	23.5

Table I

**Saturated Diacyl-sn-Glycero-3-Phosphocholines:
Main Chain Melting Transition Temperatures**

# Carbons in Acyl Chains	Main Phase Transition Temperature (° C)
1,2-(15:0)	34.5
1,2-(16:0)	41.4
1,2-(17:0)	48.2
1,2-(18:0)	55.1
1,2-(19:0)	61.8
1,2-(20:0)	64.5
1,2-(21:0)	71.1
1,2-(22:0)	74.0
1,2-(23:0)	79.5
1,2-(24:0)	80.1

See, for example, Derek Marsh, *CRC Handbook of Lipid Bilayers*, p. 139 (CRC Press, Boca Raton, FL 1990).

With particular reference to the preparation of micelle compositions, the following discussion is provided. Micelles may be prepared using any one of a variety of conventional micellar preparatory methods which will be apparent to those skilled in the art. These methods typically involve suspension of the lipid compound in an organic solvent, evaporation of the solvent, resuspension in an aqueous medium, sonication and centrifugation. The foregoing methods, as well as others, are

- 21 -

discussed, for example, in Canfield et al., *Methods in Enzymology*, Vol. 189, pp. 418-422 (1990); El-Gorab et al, *Biochem. Biophys. Acta*, Vol. 306, pp. 58-66 (1973); *Colloidal Surfactant*, Shinoda, K., Nakagana, Tamamushi and Isejura, Academic Press, NY (1963) (especially "The Formation of Micelles", Shinoda, Chapter 1, pp. 1-88); *Catalysis in Micellar and Macromolecular Systems*, Fendler and Fendler, Academic Press, NY (1975). The disclosures of each of the foregoing publications are incorporated by reference herein, in their entirety.

As noted above, the vesicular composition may comprise liposomes. In any given liposome, the lipid compound(s) may be in the form of a monolayer or bilayer, and the mono- or bilayer lipids may be used to form one or more mono- or bilayers. In the case of more than one mono- or bilayer, the mono- or bilayers are generally concentric. Thus, the lipids may be used to form a unilamellar liposome (comprised of one monolayer or bilayer), an oligolamellar liposome (comprised of two or three monolayers or bilayers) or a multilamellar liposome (comprised of more than three monolayers or bilayers).

A wide variety of methods are available in connection with the preparation of liposome compositions. Accordingly, the liposomes may be prepared using any one of a variety of conventional liposome preparatory techniques which will be apparent to those skilled in the art. These techniques include solvent dialysis, French press, extrusion (with or without freeze-thaw), reverse phase evaporation, microemulsification and simple freeze-thawing. The liposomes may also be prepared by various processes which involve shaking or vortexing. This may be achieved, for example, by the use of a mechanical shaking device, such as a Wig-L-Bug™ (Crescent Dental, Lyons, IL). Conventional microemulsification equipment, such as a Microfluidizer™ (Microfluidics, Woburn, MA) may be used also.

Additional methods for the preparation of liposome compositions include, for example, sonication, chelate dialysis, homogenization, solvent infusion, spontaneous formation, solvent vaporization, controlled detergent dialysis, and others, each involving the preparation of liposomes in various fashions. Methods which involve freeze-thaw techniques are preferred in connection with the preparation of liposomes. Suitable freeze-thaw techniques are described, for example, in copending U.S. application Serial No. 07/838,504, filed February 19, 1992, the disclosures of

- 22 -

which are incorporated herein by reference in their entirety. Preparation of the liposomes may be carried out in a solution, such as an aqueous saline solution, aqueous phosphate buffer solution, or sterile water.

The size of the liposomes can be adjusted, if desired, by a variety of techniques, including extrusion, filtration, sonication and homogenization. In addition, the size of the liposomes can be adjusted by the introduction of a laminar stream of a core of liquid into an immiscible sheath of liquid. Other methods for adjusting the size of the liposomes and for modulating the resultant liposomal biodistribution and clearance of the liposomes would be apparent to one skilled in the art based on the present disclosure. Preferably, the size of the liposomes is adjusted by extrusion under pressure through pores of a defined size. Although liposomes employed in the subject invention may be of any one of a variety of sizes, preferably the liposomes are small, that is, less than about 100 nanometer (nm) in outside diameter.

Many of the foregoing liposomal preparatory techniques, as well as others, are discussed, for example, in U.S. Patent No. 4,728,578; U.K. Patent Application GB 2193095 A; U.S. Patent No. 4,728,575; U.S. Patent No. 4,737,323; International Application Serial No. PCT/US85/01161; Mayer et al., *Biochimica et Biophysica Acta*, Vol. 858, pp. 161-168 (1986); Hope et al., *Biochimica et Biophysica Acta*, Vol. 812, pp. 55-65 (1985); U.S. Patent No. 4,533,254; Mayhew et al., *Methods in Enzymology*, Vol. 149, pp. 64-77 (1987); Mayhew et al., *Biochimica et Biophysica Acta*, Vol. 755, pp. 169-74 (1984); Cheng et al., *Investigative Radiology*, Vol. 22, pp. 47-55 (1987); International Application Serial No. PCT/US89/05040; U.S. Patent No. 4,162,282; U.S. Patent No. 4,310,505; U.S. Patent No. 4,921,706; and *Liposome Technology*, Gregoriadis, G., ed., Vol. I, pp. 29-31, 51-67 and 79-108 (CRC Press Inc., Boca Raton, FL 1984), the disclosures of each of which are hereby incorporated by reference herein, in their entirety.

Although any of a number of varying techniques can be used, the vesicular compositions of the present invention are preferably prepared using a shaking technique. Preferably, the shaking technique involves agitation with a mechanical shaking apparatus, such as a Wig-L-Bug™ (Crescent Dental, Lyons, IL), such as those disclosed in copending U.S. application Serial No. 160,232, filed

- 23 -

November 30, 1993, the disclosures of which are hereby incorporated herein by reference in their entirety.

As those skilled in the art will recognize, any of the lipid compositions and/or lipid formulations may be lyophilized for storage, and reconstituted in, for example, with an aqueous medium (such as sterile water or phosphate buffered solution, or aqueous saline solution), with the aid of vigorous agitation. To prevent agglutination or fusion of the lipids as a result of lyophilization, it may be useful to include additives which prevent such fusion or agglutination from occurring. Additives which may be useful include sorbitol, mannitol, sodium chloride, glucose, trehalose, polyvinylpyrrolidone and poly(ethylene glycol), for example, PEG 400. These and other additives are described in the literature, such as in the U.S. Pharmacopeia, USP XXII, NF XVII, The United States Pharmacopeia, The National Formulary, United States Pharmacopeial Convention Inc., 12601 Twinbrook Parkway, Rockville, MD 20852, the disclosures of which are hereby incorporated herein by reference in their entirety. Lyophilized preparations generally have the advantage of greater shelf life.

In preferred embodiments of the present invention, a gas, such as an inert gas, is incorporated in the lipid compositions. The gases provide the lipid compositions with enhanced reflectivity, particularly in vesicular composition in which the gas is entrapped within the vesicles. This increases their effectiveness as contrast agents.

Preferred gases are gases which are inert and which are biocompatible, that is, gases which are not injurious to biological function. Preferable gases include those selected from the group consisting of air, noble gases, such as helium, neon, argon and xenon, carbon dioxide, nitrogen, fluorine, oxygen, sulfur hexafluoride, fluorocarbons, perfluorocarbons, and mixtures thereof. Other gases, including the gases exemplified above, would be readily apparent to one skilled in the art based on the present disclosure. In preferred embodiments, the gas comprises a perfluorocarbon. Preferably, the perfluorocarbon is selected from the group consisting of perfluoromethane, perfluoroethane, perfluoropropane, perfluorobutane, perfluorocyclobutane and mixtures thereof. More preferably, the perfluorocarbon gas

- 24 -

is perfluoropropane or perfluorobutane, with perfluoropropane being particularly preferred. Another preferable gas is sulfur tetrafluoride.

In certain preferred embodiments, a gas, for example, air or a perfluorocarbon gas, is combined with a liquid perfluorocarbon, such as
5 perfluorohexane, perfluoroheptane, perfluorooctylbromide (PFOB), perfluorodecalin, perfluorododecalin, perfluorooctyl iodide, perfluorotripropylamine and perfluorotributylamine.

It may also be desirable to incorporate in the lipid compositions a precursor to a gaseous substance. Such precursors include materials that are capable
10 of being converted *in vivo* to a gas. Preferably, the gaseous precursor is biocompatible, and the gas produced *in vivo* is biocompatible also.

Among the gaseous precursors which are suitable for use in the present compositions are pH sensitive agents. These agents include materials that are capable of evolving gas, for example, upon being exposed to a pH that is neutral or acidic.
15 Examples of such pH sensitive agents include salts of an acid which is selected from the group consisting of inorganic acids, organic acids and mixtures thereof. Carbonic acid (H_2CO_3) is an example of a suitable inorganic acid, and aminomalonate is an example of a suitable organic acid. Other acids, including inorganic and organic acids, would be readily apparent to one skilled in the art based on the present
20 disclosure.

Preferably, the gaseous precursor is a salt which is selected from the group consisting of an alkali metal salt, an ammonium salt and mixtures thereof. More preferably, the salt is selected from the group consisting of carbonate, bicarbonate, sesquicarbonate, aminomalonate and mixtures thereof.

25 Examples of gaseous precursor materials for use in the lipid compositions of the present invention include lithium carbonate, sodium carbonate, potassium carbonate, lithium bicarbonate, sodium bicarbonate, potassium bicarbonate, magnesium carbonate, calcium carbonate, magnesium bicarbonate, ammonium carbonate, ammonium bicarbonate, ammonium sesquicarbonate, sodium
30 sesquicarbonate, sodium aminomalonate and ammonium aminomalonate.

Aminomalonate is well known in the art, and its preparation is described, for example, in Thanassi, *Biochemistry*, Vol. 9, no. 3, pp. 525-532 (1970); Fitzpatrick et

- 25 -

al., *Inorganic Chemistry*, Vol. 13, no. 3 pp. 568-574 (1974); and Stelmashok et al., *Koordinatsionnaya Khimiya*, Vol. 3, no. 4, pp. 524-527 (1977). The disclosures of these publications are hereby incorporated herein by reference.

In addition to, or instead of, being sensitive to changes in pH, the
5 gaseous precursor materials may also comprise compounds which are sensitive to changes in temperature. Such temperature sensitive agents include materials which have a boiling point of greater than about 37°C. Exemplary temperature sensitive agents are methyl lactate, perfluoropentane and perfluorohexane. The gaseous precursor materials may be also photoactivated materials, such as diazonium ion and
10 aminomalonate. As discussed more fully hereinafter, certain lipid compositions, and particularly vesicular compositions, may be designed so that gas is formed at the target tissue or by the action of sound on the particle. Examples of gaseous precursors are described, for example, in U.S. Patent Nos. 5,088,499 and 5,149,319. The disclosures of these patents are hereby incorporated herein by reference in their
15 entirety. Other gaseous precursors, in addition to those exemplified above, will be apparent to one skilled in the art based on the present disclosure.

The gaseous substances and/or gaseous precursors are preferably incorporated in the lipid compositions of the present invention irrespective of the physical nature of the composition. Thus, it is contemplated that the gaseous
20 substances and/or precursors thereto are incorporated in compositions in which the lipids are aggregated, for example, substantially randomly, as well as compositions in which the lipids form vesicles, including micelles and liposomes. Incorporation of the gaseous substances and/or precursors thereto in the lipid compositions may be achieved by using any of a number of methods. For example, the formation of gas-
25 filled vesicles can be achieved by shaking or otherwise agitating an aqueous mixture which comprises a gas or gas precursor and the lipids of the present invention. This promotes the formation of stabilized vesicles within which the gas or gas precursor is encapsulated.

In addition, a gas may be bubbled directly into an aqueous mixture of
30 the present lipid compounds. Alternatively, a gas instillation method can be used as disclosed, for example, in U.S. Patent Nos. 5,352,435 and 5,228,446, the disclosures of each of which are hereby incorporated herein by reference in their entirety.

- 26 -

Suitable methods for incorporating the gas or gas precursor in cationic lipid compositions are disclosed also in U.S. Patent No. 4,865,836, the disclosure of which is hereby incorporated herein by reference. Other methods would be apparent to one skilled in the art based on the present disclosure. Preferably, the gas is instilled in the
5 lipid compositions after or during the addition of the stabilizing material and/or during formation of vesicles.

In preferred embodiments, the gaseous substances and/or gaseous precursor materials are incorporated in vesicular compositions, with micelles and liposomes being preferred. As discussed in detail below, vesicles in which a gas or
10 gas precursor or both are encapsulated are advantageous in that they provide improved reflectivity *in vivo*.

In certain embodiments of the present invention, the compositions further comprise a bioactive agent. These compositions are referred to herein as "lipid formulations", and can be used for the therapeutic delivery *in vivo* of bioactive
15 agents. Preferably, the lipid formulations comprise vesicular formulations. In vesicular formulations, circulation and delivery of the vesicles to the targeted tissue can be observed via a non-invasive procedure. In connection with gas precursor- or gas-filled vesicles, the application of high energy ultrasound, radio frequency, optical energy, for example, laser light, and/or heat, to produce areas of hyperthermia, can be
20 used, if desired, to rupture *in vivo* the vesicles and thereby promote release of the entrapped gas (or precursor thereto) and bioactive agent. Thus, vesicular formulations permit the controlled release of a bioactive agent *in vivo*.

It has been found that certain bioactive agents, which are desirably administered to a patient in connection with the diagnosis, treatment and/or
25 prophylaxis of a disease, also desirably stabilize the lipid compositions. In these embodiments, the bioactive agent is acting as both a stabilizing material and as a diagnostic or therapeutic material. These bioactive agents are generally capable of associating non-covalently with the lipid compounds, such non-covalent interaction including, for example, ionic interaction, dipole-dipole interaction and van der Waal's
30 force and combinations thereof. Particularly suitable bioactive agents for use in the present lipid formulations, and which also act as stabilizing materials, include, for

- 27 -

example, genetic material, such as DNA and RNA, peptides and pharmaceuticals or drugs, such as nalidixic acid and vincristine.

In the case of vesicular compositions, including micelles and liposomes, it is contemplated that the bioactive agent is entrapped within the vesicle of the liposome or micelles. In certain cases, the bioactive agent can be incorporated also into the membrane walls of the vesicle. In the case of lipid compositions in which the lipids are substantially aggregated randomly, or substantially not aggregated, it is contemplated that the bioactive agent is generally dispersed homogeneously throughout the composition.

In certain embodiments, it is desirable to incorporate in the lipid compositions materials which comprise a stabilizing material, for example, a polymer, such as an alginic acid polymer, that is covalently linked to a bioactive agent. An example of such a stabilizing material is nalidixic acid alginate in which a stabilizing material (alginic acid polymer) is covalently linked to a bioactive material (nalidixic acid). Such stabilizing materials are desirable in that they are capable of stabilizing the lipid compositions, as well as providing a source of bioactive agent. In this connection, it is contemplated that stabilization of lipid compositions is achieved by such stabilizing materials, as discussed above. It is contemplated also that after administration, the stabilizing materials are hydrolyzed *in vivo*, to bioactive agent (nalidixic acid) and stabilizing material (alginic acid).

In certain circumstances, it is desirable to incorporate one or more charged species into the lipid compositions. As discussed below, such charged species contribute to the stabilizing effect provided by certain of the present stabilizing materials. Examples of suitable charged species include, for example, cations, such as metal ions, or anions. Exemplary cations include, for example, calcium, manganese, magnesium, copper, gadolinium or dysprosium cations, or any other cation which is compatible for use in connection with pharmaceutical applications. Suitable anions include, for example, sulphur, peroxides or superoxides. The anionic species may be chelated with chelating agents, for example, ethylenediaminetetraacetic acid (EDTA) and diethylenetriaminepentaacetic acid (DTPA).

- 28 -

While the inventor does not wish to bound by any theory or theories, it is believed that the charged species described above are capable of forming bridges, for example, ionic bridges, between the lipids and the stabilizing materials. Thus, for example, an anion, such as sulphur, can associate non-covalently with a positively charged lipid, for example, DOTMA, and a positively charged stabilizing material, for example, polylysine.

In addition to the stabilizing materials which are discussed above and which are associated non-covalently with the lipids, it is desirable, in certain embodiments, to include stabilizing materials which are covalently associated with the lipids. Such covalently bound stabilizing materials may take the form of, for example, stabilizing materials bearing polymers, including lipids, proteins and/or saccharides bearing polymers. Exemplary polymers include hydrophilic polymers, such as poly(ethyleneglycol) (PEG), poly(vinylpyrrolidone), polyoxomers and polysorbate and poly(vinylalcohol). Preferred among the PEG polymers are PEG 2000, PEG 5000 and PEG 8000, which have molecular weights of 2000, 5000 and 8,000 respectively. Other suitable polymers, hydrophilic and otherwise, will be readily apparent to those skilled in the art based on the present disclosure. Polymers which may be incorporated via alkylation or acylation reactions with a lipid are particularly useful for improving the stability of the lipid compositions. Exemplary lipids which bear hydrophilic polymers include, for example, dipalmitoylphosphatidylethanolamine-PEG, dioleoylphosphatidylethanolamine-PEG and distearylphosphatidylethanolamine-PEG.

Other materials for use in the preparation of stabilized lipid compositions, in addition to those exemplified above, would be apparent to one skilled in the art based on the present disclosure. For example, it may be desirable to include in the lipid compositions anti-bactericidal agents and/or preservatives. Examples of these materials include, for example, sodium benzoate, quaternary ammonium salts, sodium azide, methyl paraben, propyl paraben, sorbic acid, ascorbyl, palmitate, butylated hydroxyanisole, butylated hydroxytoluene, chlorobutanol, dehydroacetic acid, ethylenediamine, monothioglycerol, potassium benzoate, potassium metabisulfite, potassium sorbate, sodium bisulfite, sulphur dioxide and organic mercurial salts.

- 29 -

It may be desirable to also include materials which contribute to the stability of the lipid compositions and/or the formation of vesicular compositions. Exemplary of such materials are nonionic materials, including, for example, polyoxyethylene fatty acid esters, polyoxyethylene fatty alcohols, polyoxyethylene fatty alcohol ethers, polyoxyethylated sorbitan fatty acid esters, glycerol polyethylene glycol oxystearate, glycerol polyethylene glycol ricinoleate, ethoxylated soybean sterols, ethoxylated castor oil, polyoxyethylene-polyoxypropylene polymers and polyoxyethylene fatty acid stearates.

As noted above, there exists a wide variety of techniques for the preparation of lipid compositions according to the present invention. Similarly, a wide variety of techniques exist for the preparation of lipid formulations. For example, the lipid formulations may be prepared from a mixture of lipid compounds, bioactive agent and gas or gaseous precursor. In this case, lipid compositions are prepared as described above in which the compositions comprise also bioactive agent. Thus, for example, micelles can be prepared in the presence of a bioactive agent. In connection with lipid compositions which comprise a gas, the preparation can involve, for example, bubbling a gas directly into a mixture of the lipid compounds and stabilizing material. Alternatively, the lipid compositions may be preformed from lipid compounds and gas or gaseous precursor. In the latter case, the bioactive agent is then added to the lipid composition prior to use. For example, an aqueous mixture of liposomes and gas may be prepared to which the bioactive agent is added and which is agitated to provide the liposome formulation. The liposome formulation is readily isolated also in that the gas- and/or bioactive agent-filled liposome vesicles generally float to the top of the aqueous solution. Excess bioactive agent can be recovered from the remaining aqueous solution.

The formulations of the present invention can be used in either *in vitro* or *in vivo* applications. In the case of *in vitro* applications, including cell culture applications, the lipid formulations can be added to the cells in cultures and then incubated. If desired, where liposomes are employed, energy, such as sonic energy, may be applied to the culture media to burst the liposomes and release any therapeutic agents.

- 30 -

With respect to *in vivo* applications, the formulations of the present invention can be administered to a patient in a variety of forms adapted to the chosen route of administration, namely, parenterally, orally, or intraperitoneally. Parenteral administration, which is preferred, includes administration by the following routes:

5 intravenous; intramuscular; interstitially; intra-arterially; subcutaneous; intraocular; intrasynovial; transepithelial, including transdermal; pulmonary via inhalation; ophthalmic; sublingual and buccal; topically, including ophthalmic; dermal; ocular; rectal; and nasal inhalation via insufflation. Intravenous administration is preferred among the routes of parenteral administration.

10 In the case of diagnostic applications such as ultrasound, the lipid compositions, which may further comprise a gas or gaseous precursor, are administered to a patient. Energy, preferably in the form of ultrasonic energy, is applied to at least a portion of the patient to image the target tissue. A visible image of an internal region of the patient is then obtained, such that the presence or absence

15 of diseased tissue can be ascertained.

Ultrasonic imaging techniques, including second harmonic imaging, are well known in the art, and are described, for example, in Uhlendorf, "Physics of Ultrasound Contrast Imaging: Scattering in the Linear Range", *IEEE Transactions on Ultrasonics, Ferroelectrics, and Frequency Control*, Vol. 14(1), pp. 70-79 (1994) and

20 Sutherland, *et al.*, "Color Doppler Myocardial Imaging: A New Technique for the Assessment of Myocardial Function", *Journal of the American Society of Echocardiography*, Vol. 7(5), pp. 441-458 (1994), the disclosures of which are hereby incorporated herein by reference in their entirety.

Ultrasound can be used for both diagnostic and therapeutic purposes.

25 In general, the levels of energy from diagnostic ultrasound are insufficient to cause rupture of vesicular species and to facilitate release and cellular uptake of the bioactive agents. Moreover, diagnostic ultrasound involves the application of one or more pulses of sound. Pauses between pulses permits the reflected sonic signals to be received and analyzed. The limited number of pulses used in diagnostic ultrasound

30 limits the effective energy which is delivered to the tissue that is being studied.

On the other hand, higher energy ultrasound, for example, ultrasound which is generated by therapeutic ultrasound equipment, is generally capable of

- 31 -

causing rupture of the vesicular species. In general, therapeutic ultrasound machines use from about 10 to about 100% duty cycles, depending on the area of tissue to be treated with the ultrasound. Areas of the body which are generally characterized by larger amounts of muscle mass, for example, backs and thighs, as well as highly
5 vascularized tissues, such as heart tissue, may require a larger duty cycle, for example, up to about 100%.

In therapeutic ultrasound, continuous wave ultrasound is used to deliver higher energy levels. For the rupture of vesicular species, continuous wave ultrasound is preferred, although the sound energy may be pulsed also. If pulsed
10 sound energy is used, the sound will generally be pulsed in echo train lengths of about 8 to about 20 or more pulses at a time. Preferably, the echo train lengths are about 20 pulses at a time. In addition, the frequency of the sound used may vary from about 0.25 to about 100 megahertz (MHz). In general, frequency for therapeutic ultrasound ranges between about 0.75 and about 3 MHz are preferred with about 1
15 and about 2 MHz being more preferred. In addition, energy levels may vary from about 0.5 Watt (W) per square centimeter (cm^2) to about 5.0 W/cm^2 , with energy levels of about 0.5 to about 2.5 W/cm^2 being preferred. Energy levels for therapeutic ultrasound involving hyperthermia are generally from about 5 W/cm^2 to about 50 W/cm^2 . For very small vesicular species, for example, species in which the vesicles
20 have a diameter of less than about 0.5 micron, higher frequencies of sound are generally preferred. This is because smaller vesicular species are capable of absorbing sonic energy more effectively at higher frequencies of sound. When very high frequencies are used, for example, greater than about 10 MHz, the sonic energy will generally penetrate fluids and tissues to a limited depth only. Thus, external
25 application of the sonic energy may be suitable for skin and other superficial tissues. However, for deep structures it is generally necessary to focus the ultrasonic energy so that it is preferentially directed within a focal zone. Alternatively, the ultrasonic energy may be applied via interstitial probes, intravascular ultrasound catheters or endoluminal catheters. Such probes or catheters may be used, for example, in the
30 esophagus for the diagnosis and/or treatment of esophageal carcinoma. In addition to the therapeutic uses discussed above, the present compositions can be employed in connection with esophageal carcinoma or in the coronary arteries for the treatment of

- 32 -

atherosclerosis, as well as the therapeutic uses described, for example, in U.S. Patent No. 5,149,319, the disclosures of which are hereby incorporated herein by reference in their entirety.

The useful dosage to be administered and the particular mode of administration will vary depending upon such factors as the age, weight and the particular animal and region thereof to be treated, the particular lipid compound and stabilizing material used, the presence or absence of a bioactive agent, the diagnostic or therapeutic use contemplated, and the form of the formulation, for example, micelle or liposome, as will be readily apparent to those skilled in the art. Typically, dosage is administered at lower levels and increased until the desirable therapeutic effect is achieved. The amount of lipid compound that is administered can vary and generally depends upon the amount of particular lipid compound and stabilizing material administered.

The present invention is further described in the following examples. In these examples, Examples 1 and 3 are actual examples. The remaining examples (Examples 2 and 4) are prophetic examples. These examples are for illustrative purposes only, and are not to be construed as limiting the appended claims.

EXAMPLES

Various of the materials used in the following examples are commercially available. Polylysine, alginic acid and chitosan were purchased from Sigma Chemical Co. (St. Louis, MO). Polyethyleneimine was purchased from Aldrich Chemical Co. (Milwaukee, WI).

In the following examples, "DPPE" refers to dipalmitoylphosphatidylethanolamine; "DPPA" refers to dipalmitoylphosphatidic acid; and "DPPC" refers to dipalmitoylphosphatidylcholine. "PEG 5000" refers to poly(ethylene glycol) polymer having a molecular weight of about 5000. "DPPE-PEG-5000" refers to DPPE which is covalently bound to PEG 5000.

EXAMPLE 1

This example describes the preparation and analysis of vesicular compositions within the scope of the present invention. The analysis involves a determination of the number and size of vesicles in the compositions.

5 Thirteen lipid compositions were prepared, each of which comprised a mixture of DPPE-PEG 5000 (62 mg), DPPA (9.2 mg) and DPPC (153.2 mg). Stock solutions (10 mg/mL) were formulated from stabilizing materials (polylysine, polyethyleneimine, alginic acid or chitosan) and deionized water. The stock solutions were added to twelve of the lipid compositions such that 7.6 mg (5 wt. %), 15.4 mg
10 (10 wt. %) and 30.6 mg (20 wt. %) of each of the stabilizing materials were added to the lipid samples. No stabilizing material was added to the remaining lipid sample (control). Water was added to each of the lipid samples. The samples were stirred overnight and heated to about 45°C for 1 to 2 hours and stirred again to achieve a homogeneous mixture. The mixtures were then cooled to 4°C and lyophilized. Each
15 of the lyophilizates (10 to 20 mg) was resuspended in a mixture of normal saline:propyleneglycol:glycerol (8:1:1, v:v:v) to a concentration of 1 mg/ml. The mixtures were heated to 45°C and filtered (0.22 µm). Each of the resulting mixtures (1.5 mL) were placed in a 2 mL vial (1.1 mL gas headspace) (VWR, Los Angeles, CA). The vials were sealed and shaken on a Wig-L-Bug™ (Crescent Dental Lyons,
20 IL) for 1 minute at a shaking rate of 3,300 rpm to provide gas-filled vesicles, the gas being air. Aliquots (20 µL) of each of the samples were removed and the vesicles were sized on an Accusizer Model 770 particle sizer (Particle Sizing Systems, Santa Barbara, CA).

The results of the analysis are set forth in the following table.

TABLE II

Stabilizing Material	Weight %	Total Number of Vesicles	Vesicle Concentration (Number of Vesicles per mL)	Size (diam.) of Vesicles (μm)
None (Control)	0	N/S	N/S	N/S
Polylysine	5	8.36×10^4	1.67×10^6	28.85
	10	9.34×10^4	1.87×10^6	26.47
	20	8.47×10^4	1.69×10^6	30.17
Polyethyleneimine	5	1.02×10^5	2.03×10^6	31.10
	10	8.14×10^4	1.63×10^6	30.29
	20	9.65×10^4	1.93×10^6	27.16
Alginic Acid	5	1.16×10^5	5.78×10^6	25.92
	10	1.08×10^5	5.65×10^7	28.12
	20	8.85×10^4	4.40×10^7	25.48
Chitosan	5	6.31×10^4	1.26×10^6	41.06
	10	6.95×10^4	1.39×10^6	32.19
	20	8.93×10^4	1.79×10^6	28.20

"N/S" means not significant.

The foregoing results demonstrate that the compositions of the present invention, which comprise lipids in combination with a stabilizing material, possess high concentrations of vesicles relative to compositions lacking the stabilizing materials (control).

EXAMPLE 2(A)

This example demonstrates that bioactive agents, including, peptides, are effective as stabilizing materials. The lipid compositions described in Example 1 will be prepared except that each of the stabilizing materials (polylysine, polyethyleneimine, alginic acid and chitosan) will be replaced with cystic fibrosis

- 35 -

transmembrane receptor (CFTR). Gas-filled vesicles will be formed as described in Example 1. This demonstrates that CFTR acts as a stabilizing material.

The formulation containing CFTR will be injected intravenously (1 ml) to a patient suffering from cystic fibrosis. The symptoms of the patient are resolved
5 after 4 weeks of therapy.

EXAMPLE 2(B)

Example 2(A) will be repeated except that vincristine (5 mg) will be substituted for the stabilizing materials described in Example 1. Gas-filled vesicles will be formed which demonstrates that the vincristine acts as a stabilizing material.

10 This composition will be used to treat a patient with a colon carcinoma status post-colonostomy but with metastasis to the liver. Tumor burden will be decreased with a minimum of adverse sequelae.

EXAMPLE 3

The viscosities of the compositions prepared in Example 1 were
15 determined using a Brookfield Engineering Labs Viscometer with a CP42 Spindle. The spindle rotation rate was 1.1 sec⁻¹. The results are shown in the following table.

	Stabilizing Material	Weight % of Stabilizing Material	Viscosity (mPas)
		(based on total lipid content)	
	Control	0	2.0
20	Polylysine	20	1.1
	Polyethyleneimine	20	1.1
	Chitosan	20	2.4
	Alginic Acid	20	2.4

The results of the viscosity measurements tabulated above indicate that the stabilizing
25 effect provided by the stabilizing materials is independent of viscosity. This is demonstrated, for example, in that the control composition, which contained no stabilizing material and in which substantially no gas-filled vesicles were formed, had a viscosity which is substantially similar to the viscosities of compositions that

- 36 -

contained either alginic acid or chitosan and in which a desirable concentration of gas-filled vesicles was provided. In addition, the compositions which contained polylysine or polyethyleneimine as stabilizing material had a viscosity which was about one-half that of the control composition and the compositions which contained
5 alginic acid and chitosan. As noted above, the compositions which contained polylysine and polyethyleneimine also provided desirable concentrations of gas-filled vesicles.

EXAMPLE 4

This example describes the use of stabilizing material which is
10 covalently linked to a bioactive agent.

Into a 250 ml round-bottomed flask will be added nalidixic acid (5 g, 20 mmole) and alginic acid polymer (4.5 g, 20 mmole monomeric equivalents) having an average molecular weight of 240,000. The mixture will be dissolved in dimethylformamide (100 ml) and to this mixture will be added with stirring,
15 carbonyldiimidazole (3.2 g, 20 mmole). The resulting mixture will be stirred for 1 hour and concentrated *in vacuo*. The resulting product will be passed through a gel size exclusion column to yield covalently-bound nalidixic acid alginate.

The lipid compositions of Example 1 will be prepared except that the stabilizing materials (polylysine, polyethyleneimine, alginic acid and chitosan) will be
20 substituted with nalidixic acid alginate. Using the procedure described in Example 1, gas-filled vesicles will be prepared.

The compositions containing nalidixic acid alginate as a stabilizing material will be then injected intravenously (50 mL) to a patient with gram-negative bacteremia (*pseudomonas aeruginosa*). The bacteremia is resolved, presumably by
25 the release *in vivo* of nalidixic acid from the nalidixic acid alginate due to cleavage with non-specific esterases in the bloodstream.

The disclosures of each patent, patent application and publication cited or described in this document are hereby incorporated by reference, in their entirety.

Various modifications of the invention, in addition to those described
30 herein, will be apparent to those skilled in the art from the foregoing description. Such modifications are also intended to fall within the scope of the appended claims.

What is claimed is:

CLAIMS

1. A composition comprising, in an aqueous carrier, a lipid and a material which is capable of stabilizing the composition, wherein said stabilizing
5 material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition.
2. A composition according to Claim 1 wherein said lipid comprises a phospholipid.
3. A composition according to Claim 2 wherein said phospholipid
10 is selected from the group consisting of phosphatidylcholine, phosphatidylethanolamine and phosphatidic acid.
4. A composition according to Claim 3 wherein said phosphatidylcholine is selected from the group consisting of dioleoylphosphatidylcholine, dimyristoylphosphatidylcholine, dipalmitoylphosphatidylcholine and
15 distearoylphosphatidylcholine.
5. A composition according to Claim 4 wherein said phosphatidylcholine comprises dipalmitoylphosphatidylcholine.
6. A composition according to Claim 3 wherein said phosphatidylethanolamine is selected from the group consisting of dipalmitoyl-
20 phosphatidylethanolamine, dioleoylphosphatidylethanolamine, N-succinyldioleoylphosphatidylethanolamine and 1-hexadecyl-2-palmitoylglycerophosphoethanolamine.
7. A composition according to Claim 6 wherein said phosphatidylethanolamine is dipalmitoylphosphatidylethanolamine.
8. A composition according to Claim 3 wherein said phosphatidic
25 acid comprises dipalmitoylphosphatidic acid.

- 38 -

9. A composition according to Claim 1 wherein said stabilizing material comprises a polymer.
10. A composition according to Claim 9 wherein said polymer is selected from the group consisting of polyhydroxy, polyamine, polycarboxy and polysaccharide polymers.
11. A composition according to Claim 1 which is a vesicular composition.
12. A composition according to Claim 11 which is selected from the group consisting of micelles and liposomes.
13. A composition according to Claim 1 wherein said stabilizing material is present in an amount sufficient to lower the viscosity of the composition.
14. A composition according to Claim 1 wherein said non-covalent association is selected from the group consisting of ionic interaction, dipole-dipole interaction and van der Waals forces.
15. A composition according to Claim 1 further comprising a bioactive agent.
16. A composition according to Claim 1 wherein said stabilizing material comprises a bioactive agent.
17. A composition according to Claim 1 further comprising a gas or a gaseous precursor.
18. A composition according to Claim 17 wherein said gas is selected from the group consisting of perfluoromethane, perfluoroethane, perfluoropropane, perfluorobutane and perfluorocyclobutane.

- 39 -

19. . A vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise
5 the viscosity of the composition.

20. A vesicular composition according to Claim 19 which is selected from the group consisting of micelles and liposomes.

21. A vesicular composition according to Claim 19 wherein said stabilizing material comprises a polymer.

10 22. A vesicular composition according to Claim 21 wherein said polymer is selected from the group consisting of polyhydroxy, polyamine, polycarboxy and polysaccharide polymers.

23. A vesicular composition according to Claim 17 further comprising a material which is capable of stabilizing the composition and which is
15 associated covalently with said lipid.

24. A vesicular composition according to Claim 23 wherein said material which is capable of stabilizing the composition and which is associated covalently with said lipid comprises a polymer.

25. A vesicular composition according to Claim 24 wherein said
20 polymer is selected from the group consisting of poly(ethylene glycol) (PEG), poly(vinylpyrrolidone), polyoxomers, polysorbate and polyvinyl alcohol.

26. A vesicular composition according to Claim 25 wherein said PEG is selected from the group consisting of PEG 2,000, PEG 5,000 and PEG 8,000.

- 40 -

27. A vesicular composition according to Claim 23 wherein said material which is capable of stabilizing the composition and which is associated covalently with said lipid is dipalmitoylphosphatidylethanolamine-PEG 5,000.

28. A composition according to Claim 19 further comprising a
5 bioactive agent.

29. A composition according to Claim 19 wherein said stabilizing material comprises a bioactive agent.

30. A vesicular composition according to Claim 19 further comprising a gas or a gaseous precursor.

10 31. A vesicular composition according to Claim 30 wherein said gas is selected from the group consisting of perfluoromethane, perfluoroethane, perfluoropropane, perfluorobutane and perfluorocyclobutane.

32. A formulation for therapeutic or diagnostic use comprising, in combination with a bioactive agent, a lipid and a material which is capable of
15 stabilizing the formulation, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the formulation.

33. A formulation according to Claim 32 wherein said composition is selected from the group consisting of micelles and liposomes.

20 34. A formulation according to Claim 33 wherein said bioactive agent is substantially entrapped within said micelles or liposomes.

35. A formulation according to Claim 32 further comprising a gas or a gaseous precursor.

36. A formulation according to Claim 35 wherein said gas is selected from the group consisting of perfluoromethane, perfluoroethane, perfluoropropane, perfluorobutane and perfluorocyclobutane.

37. A process for the preparation of a stabilized lipid composition
5 comprising combining together a lipid and a stabilizing material which is capable of associating non-covalently with said lipid wherein said stabilizing material is combined with said lipid in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition.

38. A process according to Claim 37 wherein said composition is
10 selected from the group consisting of micelles and liposomes.

39. A process for the preparation of a formulation for diagnostic or therapeutic use comprising combining together a bioactive agent and a composition which comprises a lipid and a stabilizing material which is associated non-covalently with the lipid, wherein said stabilizing material is present in said composition in an
15 amount sufficient to coat said lipid but insufficient to raise the viscosity of said composition.

40. A process according to Claim 39 wherein said composition is selected from the group consisting of micelles and liposomes.

41. A stabilized lipid composition prepared by combining together a
20 lipid and a stabilizing material which is capable of associating non-covalently with said lipid wherein said stabilizing material is combined with said lipid in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition.

42. A stabilized formulation for diagnostic or therapeutic use prepared by combining together a bioactive agent and a composition which comprises
25 a lipid and a material which is capable of stabilizing the formulation, wherein said stabilizing material is associated non-covalently with said lipid and is present in an

- 42 -

amount sufficient to coat said lipid but insufficient to raise the viscosity of the formulation.

43. A method for providing an image of an internal region of a patient comprising (i) administering to the patient a lipid composition comprising, in
5 an aqueous carrier, a lipid, a gas or gaseous precursor, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of the region.

10 44. A method for providing an image of an internal region of a patient comprising (i) administering to the patient a vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, a gas or a gaseous precursor, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount
15 sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of the region.

45. A method for diagnosing the presence of diseased tissue in a patient comprising (i) administering to the patient a lipid composition comprising, in an aqueous carrier, a lipid, a gas or gaseous precursor, and a material which is
20 capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of any diseased tissue in the patient.

46. A method for diagnosing the presence of diseased tissue in a
25 patient comprising (i) administering to the patient a vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, a gas or a gaseous precursor, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount

- 43 -

sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of any diseased tissue in the patient.

47. A method for the therapeutic delivery *in vivo* of a bioactive
5 agent comprising administering to a patient a therapeutically effective amount of a formulation which comprises, in combination with a bioactive agent, a lipid composition comprising a lipid and a material which stabilizes said composition, wherein said stabilizing material is associated noncovalently with said lipid and is present in said composition in an amount sufficient to coat said lipid but insufficient
10 to raise the viscosity of said composition.

AMENDED CLAIMS

[received by the International Bureau on 09 September 1996 (09.09.96);
original claims 33,34,38 and 40 amended; new claims 48-55 added;
remaining claims unchanged (5 pages)]

27. A vesicular composition according to Claim 23 wherein said material which is capable of stabilizing the composition and which is associated covalently with said lipid is dipalmitoylphosphatidylethanolamine-PEG 5,000.
28. A composition according to Claim 19 further comprising a
5 bioactive agent.
29. A composition according to Claim 19 wherein said stabilizing material comprises a bioactive agent.
30. A vesicular composition according to Claim 19 further comprising
10 a gas or a gaseous precursor.
31. A vesicular composition according to Claim 30 wherein said gas is selected from the group consisting of perfluoromethane, perfluoroethane, perfluoropropane, perfluorobutane and perfluorocyclobutane.
32. A formulation for therapeutic or diagnostic use comprising, in
15 combination with a bioactive agent, a lipid and a material which is capable of stabilizing the formulation, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the formulation.
33. A formulation according to Claim 32 which comprises a vesicular
20 formulation.
34. A formulation according to Claim 33 wherein said bioactive agent is substantially entrapped within said vesicles.
35. A formulation according to Claim 32 further comprising a gas or a gaseous precursor.

36. A formulation according to Claim 35 wherein said gas is selected from the group consisting of perfluoromethane, perfluoroethane, perfluoropropane, perfluorobutane and perfluorocyclobutane.

37. A process for the preparation of a stabilized lipid composition
5 comprising combining together a lipid and a stabilizing material which is capable of associating non-covalently with said lipid wherein said stabilizing material is combined with said lipid in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition.

38. A process according to Claim 37 wherein said composition
10 comprises a vesicular composition.

39. A process for the preparation of a formulation for diagnostic or therapeutic use comprising combining together a bioactive agent and a composition which comprises a lipid and a stabilizing material which is associated non-covalently with the lipid, wherein said stabilizing material is present in said composition
15 in an amount sufficient to coat said lipid but insufficient to raise the viscosity of said composition.

40. A process according to Claim 39 wherein said composition comprises a vesicular composition.

41. A stabilized lipid composition prepared by combining together a
20 lipid and a stabilizing material which is capable of associating non-covalently with said lipid wherein said stabilizing material is combined with said lipid in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition.

42. A stabilized formulation for diagnostic or therapeutic use prepared by combining together a bioactive agent and a composition which comprises a lipid and
25 a material which is capable of stabilizing the formulation, wherein said stabilizing

material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the formulation.

43. A method for providing an image of an internal region of a patient comprising (i) administering to the patient a lipid composition comprising, in an aqueous carrier, a lipid, a gas or gaseous precursor, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of the region.
44. A method for providing an image of an internal region of a patient comprising (i) administering to the patient a vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, a gas or a gaseous precursor, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of the region.
45. A method for diagnosing the presence of diseased tissue in a patient comprising (i) administering to the patient a lipid composition comprising, in an aqueous carrier, a lipid, a gas or gaseous precursor, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and (ii) scanning the patient using ultrasound to obtain a visible image of any diseased tissue in the patient.
46. A method for diagnosing the presence of diseased tissue in a patient comprising (i) administering to the patient a vesicular composition comprising, in an aqueous carrier, vesicles comprising a lipid, a gas or a gaseous precursor, and a material which is capable of stabilizing the composition, wherein said stabilizing material is associated non-covalently with said lipid and is present in an amount

sufficient to coat said lipid but insufficient to raise the viscosity of the composition; and
(ii) scanning the patient using ultrasound to obtain a visible image of any diseased tissue in the patient.

47. A method for the therapeutic delivery *in vivo* of a bioactive agent
5 comprising administering to a patient a therapeutically effective amount of a
formulation which comprises, in combination with a bioactive agent, a lipid composition
comprising a lipid and a material which stabilizes said composition, wherein said
stabilizing material is associated noncovalently with said lipid and is present in said
composition in an amount sufficient to coat said lipid but insufficient to raise the
10 viscosity of said composition.

48. A vesicular composition according to Claim 11 wherein said
vesicles comprise unilamellar vesicles.

49. A vesicular composition according to Claim 48 wherein said
vesicles comprise one monolayer.

- 15 50. A vesicular composition according to Claim 48 wherein said
vesicles comprise one bilayer.

51. A vesicular composition according to Claim 1 wherein said
vesicles are selected from the group consisting of oligolamellar and multilamellar
vesicles.

- 20 52. A vesicular composition according to Claim 11 further
comprising a gas or a gaseous precursor encapsulated in the vesicles.

53. A formulation according to Claim 32 wherein said vesicles are
selected from the group consisting of micelles and liposomes.

-48-

54. A process according to Claim 38 wherein said vesicles are selected from the group consisting of micelles and liposomes.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/04208

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 9/127, 9/14

US CL : 424/450, 489

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/450, 489

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
NONE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,246,707 A (D. H. HAYNES) 21 September 1993 (21.09.93), abstract, columns 5-6, columns 8-9 and claims.	1-3, 11-12, 14-16, 19-20, 28-29, 32-34, 37-42 and 47
X	US 4,636,381 A (M. TAKADA ET AL.) 13 January 1987 (13.01.87), abstract, columns 1-3, examples and claims.	1-3, 9-16, 19-22, 28-29, 32-34, 37-42 and 47
Y	US 5,380,519 A (M. SCHNEIDER ET AL.) 10 January 1995 (10.01.95), column 1, line 5, column 6, lines 42-63, column 9, line 35 through column 10, line 5 and claims.	1-22 and 28-47

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z*	document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means		
P document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 13 JUNE 1996	Date of mailing of the international search report 09 JUL 1996
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer G. S. KISHORE <i>G. S. Kishore</i> Telephone No. (703) 308-2351

Form PCT/ISA/210 (second sheet)(July 1992)*

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/04208

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,013,556 A (M. C. WOODLE ET AL.) 07 May 1991 (07.05.91), abstract.	23-27
Y	WEINER, N. et al. LIPOSOMES AS A DRUG DELIVERY SYSTEM. DRUG DEVELOPMENT AND INDUSTRIAL PHARMACY. 1989, Vol. 15, No. 10, pages 1523-1554, especially pages 1523-1526.	4-8 and 27
Y	EP 0,231,091 A1 (CHILDREN'S HOSPITAL MEDICAL CENTER) 05 May 1987 (05.05.87), abstract and claims.	17-18, 30-31, 35-36 and 43-46

Form PCT/ISA/210 (continuation of second sheet)(July 1992)*